

Topochemical Oxidation of Ruddlesden–Popper Nickelates Reveals Distinct Structural Family: Oxygen-Intercalated Layered Perovskites

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ABSTRACT: Layered perovskites—including the Dion–Jacobson, Ruddlesden–Popper, and Aurivillius families—exhibit a wide range of correlated electron phenomena, from high-temperature superconductivity to multiferroicity. Here, we report a new family of layered perovskites realized through topochemical oxidation of $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1+\delta}$ ($n = 1–4$) Ruddlesden–Popper nickelate thin films. Postgrowth ozone annealing induces a substantial *c*-axis expansion—17.8% for $\text{La}_2\text{NiO}_{4+\delta}$ ($n = 1$)—that monotonically decreases with increasing *n*. Surface synchrotron X-ray diffraction and coherent Bragg rod analysis (COBRA) reveal that this structural expansion arises from the intercalation of approximately $\delta \approx 0.7–1.0$ oxygen atoms into interstitial sites within the rock salt spacer layers, far exceeding the previous record of $\delta \approx 0.3$ for any Ruddlesden–Popper oxide. These oxygen-intercalated phases form a new class of layered perovskites with a spacer layer composition intermediate between the Ruddlesden–Popper and Aurivillius phases. Furthermore, oxygen intercalation induces metallicity, enhances nickel–oxygen hybridization, and suppresses oxygen octahedral rotations, a feature associated with high-temperature superconductivity in Ruddlesden–Popper nickelates. Our work establishes topochemical oxidation as a powerful approach to accessing highly oxidized, metastable phases across a broad range of layered oxide systems, offering new platforms to engineer electronic properties via intercalation chemistry.

INTRODUCTION

Perovskite oxides (ABO_3 , *A*, and *B* = cation) host an extraordinary range of physical phenomena, from high-temperature superconductivity to room-temperature multiferroicity. These materials also form naturally layered variants, including the Dion–Jacobson,^{1,2} Ruddlesden–Popper,^{3,4} and Aurivillius^{5–8} phases. Among these, the Ruddlesden–Popper family ($\text{A}_{n+1}\text{B}_n\text{O}_{3n+1}$) has been the most extensively studied. These compounds comprise *n* perovskite ABO_3 layers separated by AO rock salt spacer layers (Figure 1), which tune the effective dimensionality from quasi-2D in A_2BO_4 ($n = 1$) to 3D in perovskite ABO_3 ($n = \infty$). Ruddlesden–Popper phases also exhibit emergent properties absent in the perovskite limit, including high-performance tunable dielectrics in titanates,⁹ high-temperature superconductivity in cuprates,¹⁰ and more recently, in bilayer and trilayer Ruddlesden–Popper nickelates.^{11,12} Beyond dimensionality and epitaxial strain,¹³ soft-chemical synthesis methods have demonstrated remarkable tunability in oxides through controlled modification of oxygen content.¹⁴ For example, topochemical reduction of the Ruddlesden–Popper nickelates induces a pronounced structural contraction via oxygen deintercalation, forming the square-planar nickelates—a distinct structural family (Figure S1). These reduced compounds are isoelectronic ($\approx d^9$ filling) and isostructural (square-planar) with the high- T_c and exhibit superconductivity with notable similarities and differences to

the cuprates.^{15,16} In contrast, the opposite process—a large structural expansion driven by topochemical oxidation through oxygen intercalation—has not been realized in any Ruddlesden–Popper oxide to date.

RESULTS AND DISCUSSION

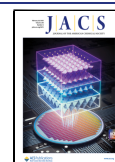
Here, we uncover a new family of layered perovskite materials through the topochemical oxidation of Ruddlesden–Popper nickelate thin films. As shown in Figure 1, ozone annealing induces a structural expansion driven by the intercalation of approximately 0.7–1.0 oxygen atoms (δ) per formula unit into interstitial sites within the rock salt spacer layers. This oxygen excess far exceeds the previous record of $\delta \approx 0.3$ for any Ruddlesden–Popper oxide. The resulting structures form a new layered oxide family intermediate between the Ruddlesden–Popper and Aurivillius phases. Additionally, oxygen-intercalated nickelates are metallic with suppressed oxygen octahedral rotations and enhanced nickel–oxygen hybridization, positioning this new family as a promising platform to

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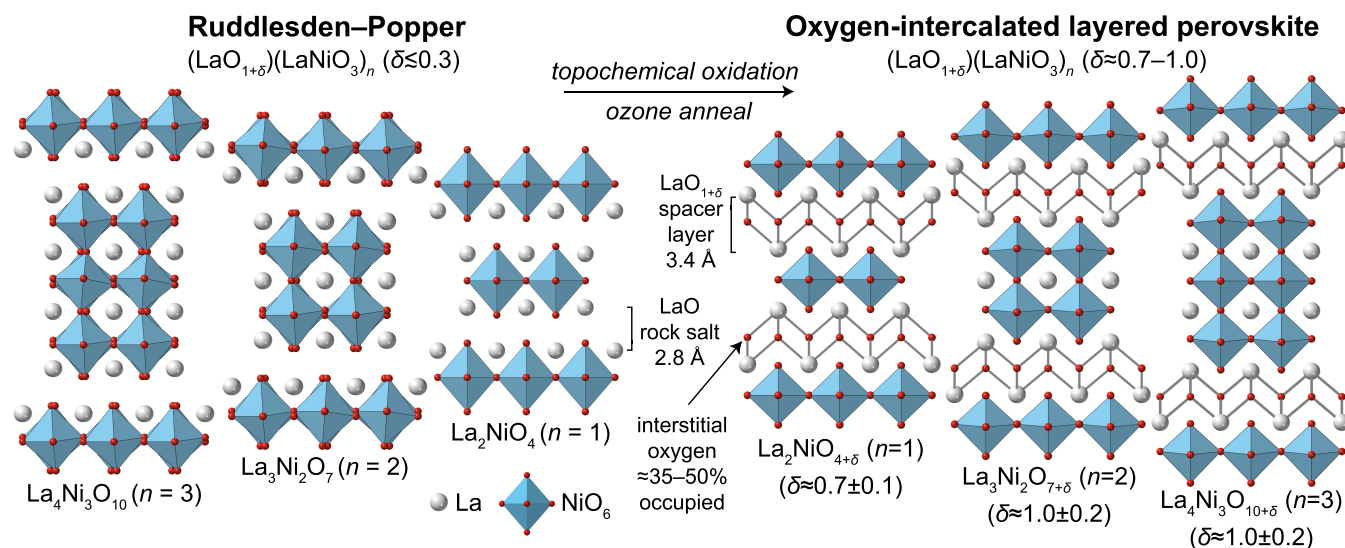


Figure 1. Topochemical oxidation of Ruddlesden–Popper nickelates. Schematic crystal structures of Ruddlesden–Popper (left) and oxygen-intercalated layered perovskite (right) nickelates. Topochemical oxidation induces the intercalation of approximately 0.7–1.0 oxygen atoms per formula unit into interstitial sites within the rock salt layer, driving an expansion of the spacer layer. Approximately 35–50% of the interstitial sites are occupied by oxygen intercalants.

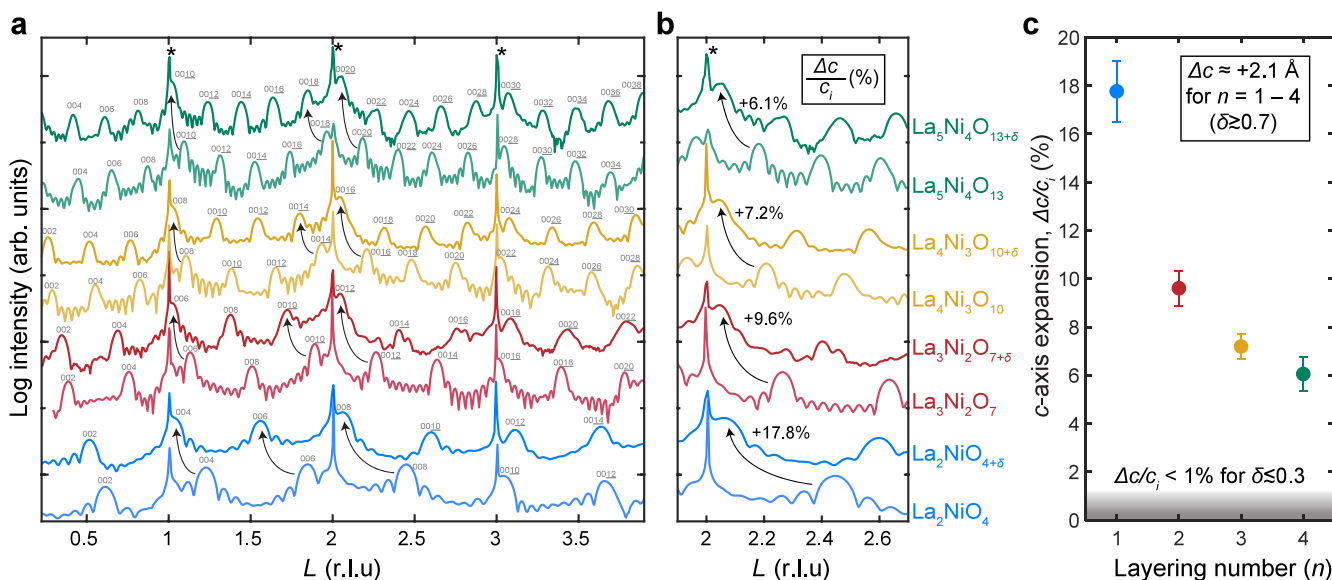


Figure 2. Structural characterization via X-ray diffraction of $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1}$ ($n = 1\text{--}4$) films before and after ozone treatment. **a**, Synchrotron X-ray diffraction scans along the $(0,0,L)$ specular crystal truncation rod. **b**, Zoom-in view of scans in **a**. Scans are vertically offset for clarity. Reciprocal space units are normalized to NdGaO_3 (110) substrate Bragg peaks, marked with asterisks. Arrows indicate peak shifts following ozone treatment. **c**, Fractional c -axis expansion after oxidation. Lattice constants and lab-based X-ray scans are available in Table S1 and Figure S2, respectively.

engineer emergent phenomena through intercalation chemistry. Our work also establishes ozone annealing as a powerful synthetic approach to synthesize metastable, highly oxidized phases across a broad family of layered oxides.

We synthesize Ruddlesden–Popper $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1}$ ($n = 1\text{--}4$) thin films on NdGaO_3 (110) substrates using ozone-assisted molecular-beam epitaxy (MBE). While Ruddlesden–Popper phases with $n > 3$ are not bulk stable, they can be uniquely stabilized via MBE.^{17,18} Subsequent topochemical oxidation is performed via ozone annealing (see methods, Figures S3–S5).

To characterize the structural expansion following ozone annealing, we employed synchrotron surface X-ray diffraction. Figure 2a,b presents $(0,0,L)$ specular crystal truncation rods (CTRs), which probe structural order along the c -axis direction

(lab-based X-ray diffraction data are provided in Figure S2). Upon oxidation, all films exhibit pronounced leftward peak shifts, indicative of an increase in the c -axis lattice constant. La_2NiO_4 ($n = 1$), for example, exhibits a 2.25 Å (17.8%) c -axis expansion from 12.68 to 14.93 Å (see Table S1 for lattice constants). The fractional expansion $\Delta c/c$ decreases monotonically with increasing n , suggesting that expansion occurs primarily within the spacer layers (Figure 2c). The absolute expansion, Δc , is approximately 2.1 ± 0.1 Å for all n values investigated ($n = 1\text{--}4$). In principle, this structural expansion should occur for all n except $n = \infty$ due to the absence of rock salt layers.

Despite the large c -axis expansion, the $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1+\delta}$ films remain epitaxially strained to the substrate following oxidation,

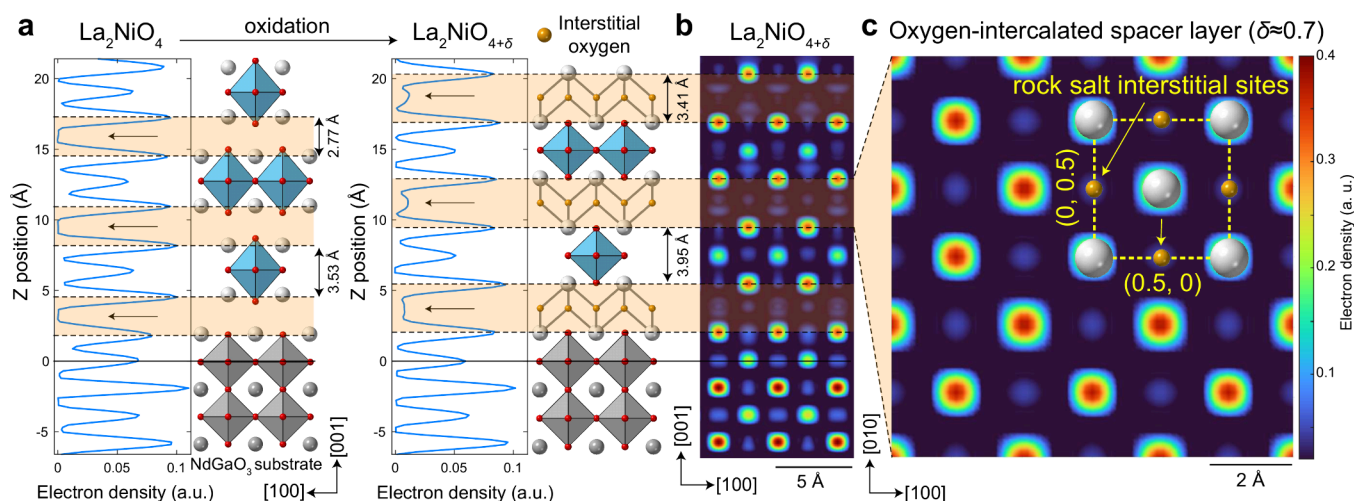


Figure 3. Structural characterization via coherent Bragg rod analysis (COBRA). **a**, Planar-averaged electron density profiles of as-grown (left) and oxidized (right) La_2NiO_4 obtained by 1D-COBRA (Figure S13). Spacer layers are highlighted with gold rectangles. Arrows mark the interstitial positions. Solid lines denote the substrate–film interface. **b**, Projected two-dimensional electron density along the in-plane $[010]$ direction, generated by combining cuts at $(a, b) = (0, 0)$ and $(0, 0.5)$. **c**, Projected two-dimensional in-plane electron density of the spacer layer, constructed from cuts through the center of the spacer layer and two adjacent LaO planes, as indicated by dashed lines in **b**. The pseudocubic unit cell is represented by the yellow dashed square. 3D-COBRA data in **b** and **c** are generated from nonspecular scans shown in Figure S16.

as indicated by reciprocal space mapping (Figure S6). Furthermore, the reaction proceeds similarly in neodymium-based films (Figure S7) and on other substrates, including LaAlO_3 (100) and SrTiO_3 (Figure S8), demonstrating the tunability of oxygen-intercalated nickelates via *A*-site substitution and epitaxial strain. Additionally, these phases remain stable with only minor structural degradation after over six months in a nitrogen desiccator (Figure S9) or 18 h in distilled water (Figure S10). Notably, annealing the oxidized films in air restores the parent Ruddlesden–Popper phase, demonstrating the reversibility of the oxidation process (Figure S11).

To determine the structural origin of the *c*-axis expansion, we employ coherent Bragg rod analysis (COBRA) of CTRs.^{19,20} We first analyze specular $(0, 0, L)$ CTRs to determine the planar-averaged electron density profiles for $n = 1$ –3 films. Figure 3a shows the electron density profiles for an $n = 1$ film, before and after oxidation. In the parent compound, the rock salt spacer layers exhibit no electron density within the rock salt layers. Upon oxidation, the spacer layers expand by 0.64 \AA (from 2.77 \AA to 3.41 \AA , +23.1%) and additionally, electron density emerges between the LaO monolayers, indicating intercalation. The perovskite layers also expand, but by a smaller amount: 0.42 \AA (3.53 \AA to 3.95 \AA , +11.9%). Like the $n = 1$ film, the oxidized $n = 2$ and $n = 3$ films also exhibit expanded spacer layers with an additional electron density (Figure S12). We integrate the interstitial electron density and determine an oxygen excess of 0.7 ± 0.1 for $n = 1$, 1.0 ± 0.2 for $n = 2$, and 1.0 ± 0.2 for $n = 3$ (Figures S12, S13). These results demonstrate that ozone annealing induces an expansion of the spacer layer driven by the intercalation of more than 0.7 oxygen atoms per formula unit. The observed structural expansions are qualitatively reproduced by density functional theory (DFT) calculations of oxygen-intercalated Ruddlesden–Popper nickelates with $\delta = 1$ (Figures S14, S15, Tables S3, S4). Furthermore, we resolve the structure of oxygen-intercalated $\text{La}_2\text{NiO}_{4+\delta}$ using 3D-COBRA, which reconstructs the three-dimensional electron density from specular and nonspecular CTRs (Figure S16). The projected 2D electron density map in Figure 3b shows

localized regions of electron density within the expanded spacer layer corresponding to interstitial oxygen atoms. The in-plane projection of the spacer layer in Figure 3c reveals that the intercalated oxygen atoms occupy rock salt interstitial sites $(a, b) = (0, 0.5)$ and $(0.5, 0)$. The projection along the $[110]$ pseudocubic direction shows additional electron density at $(a, b) = (0, 0)$ (Figure S17). These results demonstrate that intercalated oxygen occupies interstitial sites within the rock salt spacer layers.

Beyond lattice expansion, oxygen intercalation is also expected to modify oxygen octahedral connectivity across the spacer layers. To probe oxygen octahedral rotations, we measure half-order CTRs ($h, k = \text{half-integer}$), which are sensitive to the two-unit-cell periodicity associated with these rotations.²¹ We find that following oxidation, half-order peaks vanish for $n = 2$ and are strongly suppressed for $n = 3$, indicating that oxygen octahedral rotations are nearly fully quenched for $n = 2$ and substantially reduced for $n = 3$ (Figure S18). A similar suppression has been reported in $\text{La}_3\text{Ni}_{1-x}\text{Co}_x\text{O}_{7+\delta}$ ($\delta \approx 0.3$), indicating that modest interstitial oxygen content can suppress oxygen octahedral rotations without pronounced structural expansion.²² Notably, suppressed oxygen octahedral rotations have been linked to high-temperature superconductivity in $\text{La}_3\text{Ni}_2\text{O}_7$ and $\text{La}_4\text{Ni}_3\text{O}_{10}$.^{11,12} However, interstitial oxygen can suppress superconductivity by introducing excess hole doping.²³ We also note that ozone annealing is crucial to fully oxidize and stabilize superconductivity in bilayer $(\text{La,Pr})_3\text{Ni}_2\text{O}_7$ films, though excessive oxidation can destabilize the Ruddlesden–Popper phase, forming “higher-order” phases.^{13,22} We propose that these destabilized phases may be related to the oxygen-intercalated compounds reported here. Together, these results highlight the strong coupling among interstitial oxygen content, oxygen octahedral rotations, and spacer layer expansion. Because oxygen octahedral rotations directly couple to emergent phenomena, including electronic bandwidth, magnetism, and orbital polarization, oxygen intercalation provides a powerful route to tune these degrees of freedom.

Comparing the structures of oxygen-intercalated layered nickelates with those of other layered perovskites, we find that these compounds form a new structural family that is intermediate between the Ruddlesden–Popper and Aurivillius phases. As shown in Figure 4, these canonical phases are

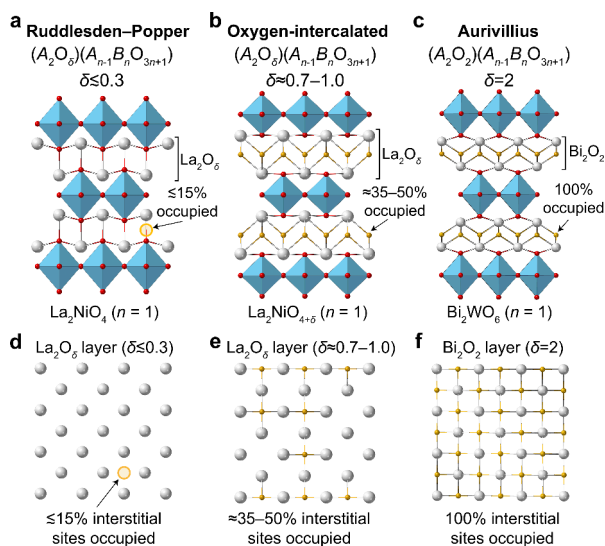


Figure 4. Families of layered perovskites. **a,b,c**, Schematic crystal structures of Ruddlesden–Popper (**a**), oxygen-intercalated (**b**), and Aurivillius (**c**) phases. **d,e,f**, Spacer layer structures for $\text{La}_2\text{O}_\delta$ ($\delta \lesssim 0.3$) (**d**), $\text{La}_2\text{O}_\delta$ ($\delta \approx 0.3$) (**e**), and Bi_2O_2 (**f**). Interstitial sites are marked with gold circles in **a** and **d**. Interstitial and apical oxygen atoms are gold and red, respectively.

distinguished by the composition of the spacer layers that separate the octahedra: $\text{A}_2\text{O}_\delta$ ($\delta \lesssim 0.3$) in Ruddlesden–Popper compounds and A_2O_2 in Aurivillius phases. The $\text{A}_2\text{O}_\delta$ layer forms a square lattice of interstitial sites that are $\lesssim 15\%$

occupied in Ruddlesden–Popper (Figure 4d) and fully occupied in Aurivillius compounds (Figure 4f). In contrast, the oxygen-intercalated nickelates adopt an $\text{A}_2\text{O}_\delta$ ($\delta \approx 0.7$ – 1.0) spacer layer composition (Figure 4b), with ≈ 35 – 50% interstitial site occupancy (Figure 4e).

To the best of our knowledge, this work provides the first demonstration of a pronounced *c*-axis expansion driven by oxygen intercalation and the largest oxygen excess ($\delta \approx 0.7$ – 1.0) reported in any Ruddlesden–Popper oxide to date. Prior studies have shown oxygen excess up to $\delta = 0.34$ in $\text{La}_2\text{NiO}_{4+\delta}$,²⁴ $\delta = 0.32$ in $\text{La}_2\text{CoO}_{4+\delta}$,²⁵ and $\delta = 0.18$ in $\text{La}_2\text{CuO}_{4+\delta}$,²⁶ with negligible structural expansion relative to the stoichiometric phase ($\delta = 0$). Whether similarly large oxygen excess and structural expansion can be achieved in bulk powders remains an open question. The thin film platform, in contrast, could be uniquely favorable for topochemical oxidation due to the destabilization of the parent phase via epitaxial strain (Figure S15).

In addition to the intercalation of every spacer layer, as shown in Figure 3, we stabilize a half-oxidized state in $\text{La}_2\text{NiO}_{4+\delta}$ with stage-2 ordering (intercalation of every two spacer layers). By shortening the ozone-annealing duration, we obtain a $\text{La}_2\text{NiO}_{4+\delta}$ film with approximately 1 Å (+7.7%) *c*-axis expansion and additional odd-order 00*l* superlattice peaks absent in the fully oxidized film (Figure S19). Similar staging behavior has been reported in $\text{La}_2\text{NiO}_{4+\delta}$ ($\delta \lesssim 0.3$),^{24,26–30} though without pronounced *c*-axis expansion or in-plane oxygen ordering. Detecting additional superlattice peaks or potential in-plane oxygen ordering would require high-dynamical-range reciprocal space mapping (HDRM). In this study, we measure CTRs only at integer or half-integer *h*, *k* values and thus model interstitial oxygen as a homogeneous planar distribution with an average interstitial site occupancy of 35–50% (Figure 3).

Unlike oxygen-rich Ruddlesden–Popper oxides, substantial *c*-axis expansion has been reported in fluorinated^{31–33} and

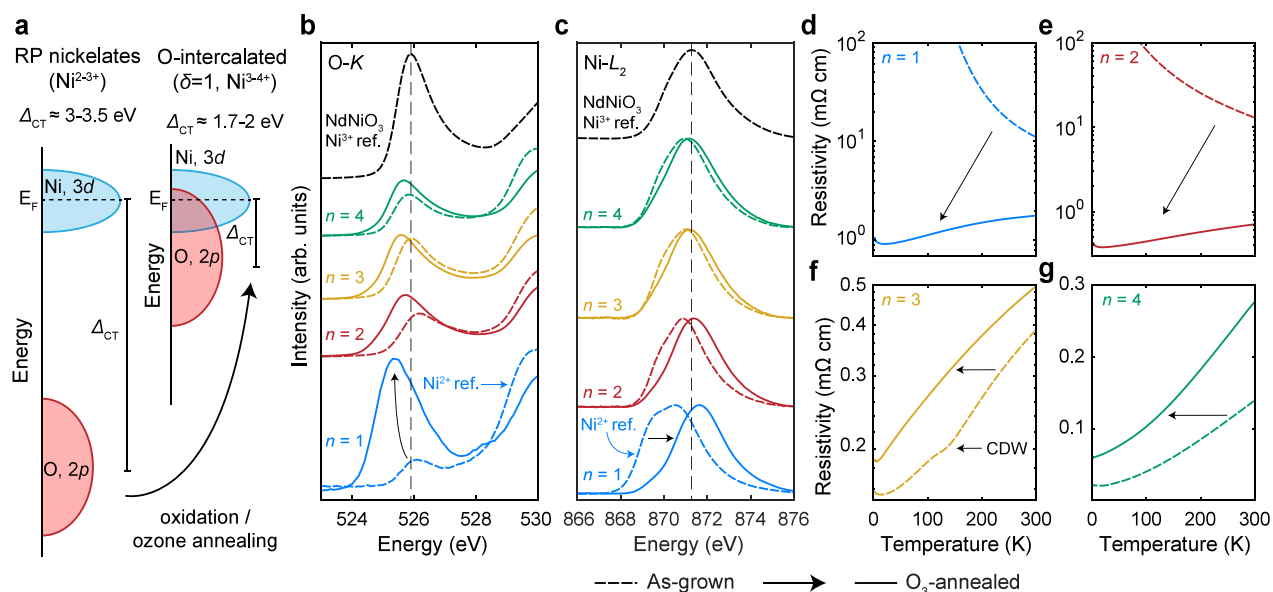


Figure 5. Electronic structure and transport properties of $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1+\delta}$ films before and after oxidation. **a**, Schematic electronic structure diagrams of (left) Ruddlesden–Popper and (right) oxygen-intercalated ($\delta = 1$) nickelates calculated via DFT (Supplementary Note 3). X-ray absorption spectra at the **b**, oxygen-*K* edge and **c**, nickel-*L*₂ edge. The vertical dashed lines in **b** and **c** indicate the nickel 3+ reference peak position. A $\text{NdNiO}_3/\text{LaAlO}_3$ thin film is used as a nickel 3+ reference. **d,e,f,g**, Temperature-dependent resistivity before and after ozone annealing for $n = 1$ (**d**), $n = 2$ (**e**), $n = 3$ (**f**), and $n = 4$ (**g**). Dashed and solid lines in **b**–**g** correspond to as-grown and ozone-annealed films, respectively.

hydrated compounds.^{34–36} In contrast, fluorinating the single-layer nickelate La_2NiO_4 to $\text{La}_2\text{NiO}_3\text{F}_2$ produces negligible c -axis expansion, an effect attributed to strong octahedral distortions that accommodate the intercalated anions.³⁷ For the oxygen-intercalated nickelates reported here, however, we observe both pronounced c -axis expansion and suppressed oxygen octahedral rotations, suggesting a coupling between spacer layer expansion and octahedral rotations (Figure S18).

Next, we examine the electronic structure of oxygen-intercalated nickelates via DFT and X-ray absorption spectroscopy (XAS). As illustrated in Figure 5a, DFT calculations reveal that oxygen intercalation ($\delta = 1$) reduces the charge transfer energy, $\Delta_{CT} = e_d - e_p$, from ≈ 3.0 – 3.5 eV in the Ruddlesden–Popper nickelates to ≈ 1.7 – 2.0 eV in oxygen-intercalated compounds. This reduction reflects an enhancement in nickel–oxygen hybridization (Figure S14). We use the oxygen- K edge prepeak to investigate oxidation-induced changes in nickel–oxygen hybridization.³⁸ Upon oxidation, the prepeak in $\text{La}_2\text{NiO}_{4+\delta}$ ($n = 1$) increases in intensity and shifts to lower energy (Figure 5b), indicating an enhancement in nickel–oxygen hybridization and hole doping into hybridized nickel $3d$ –oxygen $2p$ states. This shift decreases with increasing n .

We assess the nickel valence state via spectroscopy at the nickel- L_2 edge.³⁹ As shown in Figure 5c, the nickel- L_2 edge spectrum shifts toward higher energy after oxidation, surpassing the nickel $3+$ reference. Comparison to a nickel $3.4+$ reference suggests that the $n = 1$ sample reaches a similar oxidation state (Figure S20). Like the oxygen- K edge, the nickel- L_2 edge shifts diminish with increasing n . These data are consistent with the hypothetical trend $\text{Ni}^{3+0.4/n}$ across the series, assuming La^{3+} , O^{2-} , and oxygen excess $\delta \approx 0.7$ for all RP orders (Figure S21). The larger $\delta \approx 1$ values obtained for $n = 2$ and $n = 3$ (Figure S12, Table S2) suggest that the intercalated oxygen may not adopt purely oxide ($2-$) character but instead peroxide-like ($1-$) character, consistent with prior reports of peroxide species in oxygen-rich Ruddlesden–Popper oxides.^{40–43} Additionally, the XLD signal at the nickel- L_2 edge is suppressed after oxidation (Figure S22), suggesting that oxidation quenches the tetragonal distortion in Ruddlesden–Popper nickelates, as supported by DFT (Figure S14).

Having established the oxidation-induced modifications to the electronic structure, we next investigate the corresponding electrical transport properties. As shown in Figure 5d, the as-grown La_2NiO_4 film is semiconducting, consistent with the antiferromagnetic insulating behavior in bulk form⁴⁴ (Supplementary Note 5). Following oxidation, the film becomes metallic. This transition suggests that oxygen intercalation induces hole doping—nominally 1.4 holes per nickel for $\delta = 0.7$ —which exceeds the critical doping $x_c \approx 1$ for metallicity in the strontium-doped analogue, $\text{La}_{2-x}\text{Sr}_x\text{NiO}_4$.⁴⁵ To the best of our knowledge, this is the first observation of metallicity in an $n = 1$ Ruddlesden–Popper nickelate in the absence of A -site cation substitution. In the $n = 2$ compound, oxidation similarly drives a semiconductor-to-metal transition (Figure 5e), while in the trilayer, it suppresses the charge-density wave transition (Figures 5f, S23). The four-layer compound maintains its metallicity after oxidation (Figure 5g). We have not observed superconductivity in oxygen-intercalated nickelates to date, likely due to excessive hole doping (Figure S21).

As metallic compounds, oxygen-intercalated nickelates provide a platform for tuning the electronic transport properties through intercalation chemistry. We demonstrate

this capability in stage-ordered $\text{La}_2\text{NiO}_{4+\delta}$, which exhibits increasing electrical conductivity with increasing oxygen content (Figure S19). Oxygen intercalation thus enables controlled modulation of correlated electronic phases with oxygen content, as demonstrated in $\text{La}_2\text{CuO}_{4+\delta}$ ($\delta \lesssim 0.15$).⁴⁶ Whether oxygen insertion in layered nickelates can be pushed beyond $\delta \approx 0.7$ – 1.0 or precisely tuned remains an open question.

In contrast to oxygen-rich Ruddlesden–Popper nickelates, fluorinated nickelates are insulating to date.³⁷ We find that fluorination and oxygen intercalation of La_2NiO_4 induce similar c -axis expansions but yield insulating and metallic transport, respectively, consistent with prior work (Figure S24).³⁷ We also note that certain ozone annealing furnaces can result in unintended fluorine incorporation (Figures S24–S26).

Here, we report a new family of oxides—oxygen-intercalated layered perovskites—synthesized through topochemical oxidation of Ruddlesden–Popper nickelates. Oxygen-intercalated nickelates incorporate an unprecedented oxygen excess of approximately 0.7–1.0 atom per formula unit, driving significant c -axis expansion and stabilizing a new structural phase intermediate between the Ruddlesden–Popper and Aurivillius families. Oxygen intercalation enhances nickel–oxygen hybridization, suppresses oxygen octahedral rotations, and induces metallicity without chemical substitution, thus establishing a versatile platform for tuning the structure and electronic properties through intercalation chemistry. Moreover, the ability to reversibly oxidize and reduce Ruddlesden–Popper nickelates highlights their exceptional redox activity (Figure S21). Beyond their fundamental significance for oxide electronics, oxygen-intercalated nickelates hold promise for technological applications in solid oxide fuel cells, memristive and ionitronic devices, catalysis, and energy storage, owing to their exceptional reversible redox activity, metallicity, and stability in ambient conditions.⁴⁷ Future studies of the ionic conductivity and catalytic activity will further clarify their technological potential. Additionally, oxygen intercalation could provide a path for the exfoliation of layered oxide thin films.⁴⁸ More broadly, this work establishes a synthetic route for accessing metastable phases with unusually high oxidation states and novel electronic structures across a wide range of layered oxides.

■ ASSOCIATED CONTENT

SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.5c12712>.

Methods for thin film synthesis, topochemical oxidation, structural characterization, surface X-ray diffraction, COBRA, electrical transport, and X-ray absorption spectroscopy; additional information on structural characterization, DFT calculations, X-ray absorption spectroscopy, and electrical transport characterization (PDF)

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Notes

The authors declare no competing financial interest.

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